

# Dealing with Incoherence in ASP: Split Semi-Equilibrium Semantics\*

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**Abstract.** The answer set semantics may assign a logic program no model due to classic contradiction or cyclic negation. The latter can be remedied by a paraconsistent semantics given by semi-equilibrium (*SEQ*) models, which are 3-valued interpretations that generalize the logical reconstruction of answer sets given by equilibrium models. However *SEQ*-models miss modularity in the rules, such that a natural modular (bottom up) evaluation of programs is hindered. We thus refine *SEQ*-models using splitting sets, the major tool for modularity in answer set programs. We consider canonical models that are independent of any particular splitting sequence from a class of splitting sequences, and present two such classes whose members are efficiently recognizable. *Split SEQ-models* does not make reasoning harder, except for deciding model existence in presence of constraints.

## 1 Introduction

Answer set programming is a popular formalism in logic programming (*LP*). The answer set semantics [5] does not assign to every logic program a model. This can be either due to a logical contradiction, as emerging e.g. in the program  $\{open \leftarrow not\ closed; \neg open \leftarrow\}^1$ , or due to cyclic negation, as e.g. in the program  $\{shaves(joe, joe) \leftarrow not\ shaves(joe, joe)\}$  (a paraphrase of Russell’s paradox, where *joe* is the barber).

In order to avoid trivialization of reasoning from such programs, Inoue and Sakama [15] introduced paraconsistent semantics for answer set programs. While dealing with explicit contradictions can be achieved with similar methods as for (non-)classical logic (cf. [2, 1, 8]), dealing with cyclic negation turned out to be tricky. With the idea that atoms may also be possibly true, Inoue and Sakama defined a semi-stable semantics which for Russell’s paradox above yields the model that *shaves(joe, joe)* is possibly true. In fact, semi-stable models *approximate* answer sets and coincide with them whenever a program has some answer set; otherwise, they yield under Occam’s razor models with a least set of atoms believed to be true. That is, the intrinsic *closed world assumption (CWA)* of logic programs is slightly relaxed for achieving stability of models.

In a similar vein, we can regard many semantics for non-monotonic logic programs that relax answer sets as *paraconsistent semantics*, e.g. [4, 9, 13, 14, 17, 18]. Ideally, such a relaxation meets for a program *P* the following desiderata [3]:

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<sup>1</sup> Note that ‘ $\neg$ ’ denotes the *strong negation*, instead ‘*not*’ denotes the *negation as failure*.

- (D1) Every (consistent) answer set of  $P$  corresponds to a model (*answer set coverage*).  
(D2) If  $P$  has some (consistent) answer set, then its models correspond to answer sets (*congruence*).  
(D3) If  $P$  has a classical model, then  $P$  has a model (*classical coherence*).

However, only few paracoherent semantics satisfy all three desiderata (cf. [3]). A recent one are semi-equilibrium (*SEQ*) models [3], which improve semi-stable models by avoiding some anomalies. *SEQ*-models are a relaxation of Pearce’s well-known equilibrium models [10], which provide a logical reconstruction of answer sets alias stable models in terms of a non-monotonic version of Heyting’s [6] logic of here and there (*HT-logics*). Roughly speaking, *SEQ*-models are 3-valued interpretations in which atoms can be true, false or believed to be true; the gap between believed and derivably true atoms is globally minimized by *SEQ*-models.

While the *SEQ*-semantics has nice properties, it may select models that do not respect modular structure in the rules. To illustrate this, consider the following example.

**Example 1** Suppose we have a program that captures knowledge about friends of a person regarding visits to a party, where  $go(X)$  informally means that  $X$  will go:

$$P = \left\{ \begin{array}{l} go(John) \leftarrow not\ go(Mark); \\ go(Peter) \leftarrow go(John), not\ go(Bill); \\ go(Bill) \leftarrow go(Peter) \end{array} \right\}$$

Then  $P$  has no answer set; its semi-equilibrium models (a subset of *HT*-models) are  $M_1 = (\emptyset, \{go(Mark)\})$ , and  $M_2 = (\{go(John)\}, \{go(John), go(Bill)\})$ . Informally, a key difference between  $M_1$  and  $M_2$  concerns the beliefs on Mark and John. In  $M_2$  Mark does not go, and, consequently, John will go (moreover, Bill is believed to go, and Peter will not go). In  $M_1$ , instead, we believe Mark will go, thus John will not go (likewise Peter and Bill). None of the two models provides a fully coherent view (on the other hand, the program is incoherent, having no answer set). Nevertheless,  $M_2$  appears preferable over  $M_1$ , since, according with a layering (stratification) principle, which is widely agreed in *LP*, one should prefer  $go(John)$  rather than  $go(Mark)$ , as there is no way to derive  $go(Mark)$  (which does not appear in the head of any rule of the program).

Modularity via rule dependency as in the example above is widely used in problem modeling and logic programs evaluation; in fact, program decomposition is crucial for efficient answer set computation. For the program  $P$  above, advanced answer set solvers like *DLV* and *clasp* immediately set  $go(Mark)$  to false, as  $go(Mark)$  does not occur in any rule head. In a customary bottom up computation along program components, answer sets are gradually extended until the whole program is covered, or incoherence is detected at some component (in our example for the last two rules). But rather than to abort the computation, we would like to switch to a paracoherent mode and continue with building semi-equilibrium models, as an approximation of answer sets.

In this general setting, we refine *SEQ*-models with the following contributions.

- Resorting to splitting sets [7], the major tool for modularity in modeling and evaluating answer set programs, we define *split SEQ-models* (Section 3), for which the program is evaluated in progressive layers according to a *splitting sequence* of the atoms. In the example above, the natural sequence  $S = (\{go(Mark)\}, \{go(Mark), go(John)\}, \{go(Mark), go(John), go(Bill)\}, \{go(Mark), go(John), go(Bill), go(Peter)\})$  will yield the expected result.

- In general, the resulting split *SEQ*-models depend on the particular splitting sequence  $S$ . We thus introduce *canonical splitting sequences*, with the property that the models are *independent* of any particular from a class of splitting sequences, and thus yield canonical models (Section 4). For constraint-free programs  $P$ , the class derived from the strongly connected components (SCCs) of  $P$  warrants this property, as well as modularity property. For arbitrary programs, independence is held by a class derived from the maximal joined components (MJs), merging SCCs involved in constraints.
- We characterize the computational complexity of split *SEQ*-model semantics, for canonical models and various classes of logic programs (Section 5).

The refined semantics (*SCC*-models) lends for a modular use and bottom up evaluation of programs. Cautious merging of components (*MJC*-models) aims at preserving independence and possible parallel evaluation. So this semantics is attractive for incorporation into answer set evaluation frameworks, in order to add paracoherent features.

## 2 Preliminaries

We start with recalling answer set semantics and fixing notation, and then present the paracoherent semantics of semi-equilibrium models.

**Answer Set Programs.** Following the traditional grounding view [5], we concentrate on programs over a propositional signature  $\Lambda$ . A *disjunctive rule*  $r$  is of the form

$$a_1 \vee \dots \vee a_l \leftarrow b_1, \dots, b_m, \text{not } b_{m+1}, \dots, \text{not } b_n, \quad (1)$$

where all  $a_i$  and  $b_j$  are atoms (from  $\Lambda$ ) and  $l \geq 0$ ,  $n \geq m \geq 0$  and  $l + n > 0$ ; *not* represents *negation-as-failure*. The set  $H(r) = \{a_1, \dots, a_l\}$  is the *head* of  $r$ , while  $B^+(r) = \{b_1, \dots, b_m\}$  and  $B^-(r) = \{b_{m+1}, \dots, b_n\}$  are the *positive body* and the *negative body* of  $r$ , respectively; the *body* of  $r$  is  $B(r) = B^+(r) \cup B^-(r)$ . We denote by  $At(r) = H(r) \cup B(r)$  the set of all atoms occurring in  $r$ . For any set of atoms  $S$ , we let  $\text{not } S = \{\text{not } a \mid a \in S\}$ ; rules of form (1) will also be written (in abuse of notation)  $H(r) \leftarrow B^+(r), \text{not } B^-(r)$ . A rule  $r$  is a *fact*, if  $B(r) = \emptyset$  (we then omit  $\leftarrow$ ); a *constraint*, if  $H(r) = \emptyset$ ; *normal*, if  $|H(r)| \leq 1$  and *positive*, if  $B^-(r) = \emptyset$ .

A (*disjunctive logic*) *program*  $P$  is a finite set of disjunctive rules.  $P$  is called *normal* [resp. *positive*] if each  $r \in P$  is normal [resp. positive]. We let  $At(P) = \bigcup_{r \in P} At(r)$ .

Any set  $I \subseteq \Lambda$  is an *interpretation*; it is a *model* of a program  $P$  (denoted  $I \models P$ ) iff for each rule  $r \in P$ ,  $I \cap H(r) \neq \emptyset$  if  $B^+(r) \subseteq I$  and  $B^-(r) \cap I = \emptyset$  (denoted  $I \models r$ ). A model  $M$  of  $P$  is *minimal*, iff no model  $M' \subset M$  of  $P$  exists. We denote by  $MM(P)$  the set of all minimal models of  $P$  and by  $AS(P)$  the set of all *answer sets* (or *stable models*) of  $P$ , i.e., the set of all interpretations  $I$  such that  $I \in MM(P^I)$ , where  $P^I$  is the well-known *Gelfond-Lifschitz reduct* [5] of  $P$  w.r.t.  $I$ .

**Semi-equilibrium paracoherent semantics.** We call logic programs that lack answer sets due to cyclic dependency of atoms among each other by rules through negation *incoherent* (cf. Russel's paradox). The semi-equilibrium semantics [3] avoids incoherence by resorting to the view of answer sets in the *logic of here and there* (*HT-logic*) [10, 11]. We focus here on formulas  $\phi$  of the form

$$b_1 \wedge \dots \wedge b_m \wedge \neg b_{m+1} \wedge \dots \wedge \neg b_n \rightarrow a_1 \vee \dots \vee a_l, \quad (2)$$

which correspond in an obvious way to rules of form (1). In *HT-logic*, *interpretations* are pairs  $(X, Y)$ ,  $X \subseteq Y \subseteq A$ , where  $X$  is the *here* world and  $Y$  the *there* world. Intuitively, the atoms in  $X$  are true (value **t**), atoms not in  $Y$  are false (**f**), and the atoms in  $gap(X, Y) = Y \setminus X$  are believed to be true (**bt**). For any set  $A$  of HT-interpretations, we denote by  $mc(A)$  the set of maximal canonical interpretations  $(X, Y) \in A$ , i.e., no  $(X', Y') \in A$  exists such that  $gap(X', Y') \subset gap(X, Y)$ . We define  $(X, Y)$  to be an *HT-model* of the formula  $\phi$ , denoted  $(X, Y) \models \phi$ , in a recursive way:

1.  $(X, Y) \models a$  iff  $a \in X$ ;
2.  $(X, Y) \not\models \perp$ ; ( $\perp$  is falsity)
3.  $(X, Y) \models \phi \wedge \psi$  iff  $(X, Y) \models \phi$  and  $(X, Y) \models \psi$ ;
4.  $(X, Y) \models \phi \vee \psi$  iff  $(X, Y) \models \phi$  or  $(X, Y) \models \psi$ ;
5.  $(X, Y) \models \phi \rightarrow \psi$  iff (i)  $(X, Y) \not\models \phi$  or  $(X, Y) \models \psi$ , and (ii)  $Y \models \phi \rightarrow \psi$ ;<sup>2</sup>
6.  $(X, Y) \models \neg\phi$  iff  $(X, Y) \not\models \phi \rightarrow \perp$ .

In particular,  $(X, Y) \models \neg a$  iff  $a \notin Y$ , and  $(X, Y) \models r$  for a rule  $r$  of form (2) iff either  $\{a_1, \dots, a_k\} \cap X \neq \emptyset$ ,  $\{b_1, \dots, b_m\} \not\subseteq Y$ , or  $\{b_{m+1}, \dots, b_n\} \cap Y \neq \emptyset$ . A HT-interpretation  $(X, Y)$  is an HT-model of a theory (i.e., a set of formulas)  $\Theta$ , denoted  $(X, Y) \models \Theta$  iff  $(X, Y) \models \phi$  for each  $\phi \in \Theta$ . It is an *equilibrium (EQ) model* of  $\Theta$  iff  $X = Y$  and for every  $X' \subset Y$  it holds that  $(X', Y) \not\models \Theta$ .

As shown by Pearce [10],  $M \subseteq At(P)$  fulfills  $M \in AS(P)$  iff  $(M, M)$  is an EQ-model of  $\Theta_P$ . Paracoherent answer sets emerge with minimal sets of believed atoms.

**Definition 1 ([3])** A *semi-equilibrium (SEQ) model* (or paracoherent answer set) of a program  $P$  is any HT-model  $(X, Y)$  of  $P$  s.t. (i)  $(X', Y) \not\models P$ , for all  $X' \subset X$  (*h-minimality*) and (ii) no HT-model  $(X', Y')$  of  $P$  satisfies *h-minimality* and  $gap(X', Y') \subset gap(X, Y)$  (*gap-minimality*).

The set of all semi-equilibrium models of  $P$  is denoted by  $SEQ(P)$ .

**Example 2** Consider the program  $P = \{a \leftarrow b; b \leftarrow \text{not } a\}$ . Its HT-models are  $(\emptyset, a)$ ,  $(\emptyset, ab)$ ,  $(a, a)$ ,  $(a, ab)$ ,  $(b, ab)$  and  $(ab, ab)$ . Hence, there is no equilibrium model for  $P$ , while  $SEQ(P) = \{(\emptyset, a)\}$ .

### 3 Split Semi-Equilibrium Semantics

In this section, we introduce a refinement to the semi-equilibrium semantics. In fact we observe that sometimes gap minimization is too weak. Consider the following example.

**Example 3** Let  $P = \{c \leftarrow b, \text{not } c; b \leftarrow \text{not } a\}$ ; then  $SEQ(P) = \{(b, bc), (\emptyset, a)\}$ . Here  $(b, bc)$  is more appealing than  $(\emptyset, a)$  because  $a$  is not derivable, as no rule has  $a$  in the head. Moreover, intuitively,  $P_1 = \{b \leftarrow \text{not } a\}$  is a lower (coherent) part feeding into the upper part  $P_2 = \{c \leftarrow b, \text{not } c\}$ .

To overcome this limitation, we introduce a refined paracoherent semantics, called *split semi-equilibrium semantics*. It coincides with the answer sets semantics in case of coherent programs, and selects a subset of the SEQ-models otherwise. The main results

<sup>2</sup> Note that in condition 5.(ii) ' $\models$ ' is the standard operator of classical propositional logic.

of this section are two model-theoretic characterizations which identify necessary and sufficient conditions for deciding whether a  $SEQ$ -model is selected.

**Splitting sets and sequences.** Splitting sets [7] allow us to divide a program  $P$  into two parts which can be evaluated bottom up. Formally, a set  $S \subseteq At(P)$  is a *splitting set* of  $P$ , if for each rule  $r$  in  $P$  s. t.  $H(r) \cap S \neq \emptyset$ , then  $At(r) \subseteq S$ . We denote by  $b_S(P) = \{r \in P \mid At(r) \subseteq S\}$  the *bottom* of  $P$ ,  $t_S(P) = P \setminus b_S(P)$  the *top* of  $P$  relative to  $S$ . Splitting sets naturally lead to splitting sequences. A *splitting sequence*  $S = (S_1, \dots, S_n)$  of  $P$  is a sequence of splitting sets of  $P$  s. t.  $S_i \subseteq S_j$  for each  $i < j$ .

**Split semi-equilibrium models.** We introduce the notion of  $SEQ$ -models related to a *splitting set*. Given a splitting set  $S$  for a program  $P$  and an HT-interpretation  $(I, J)$  for  $b_S(P)$ , we let

$$P^S(I, J) = P \setminus b_S(P) \cup \{a \mid a \in I\} \cup \{\leftarrow \text{not } a \mid a \in J\} \cup \{\leftarrow a \mid a \in S \setminus J\}.$$

Informally, the bottom part of  $P$  w.r.t.  $S$  is replaced with rules and constraints which fix in any  $EQ$ -model of the remainder ( $= t_S(P)$ ) the values of the atoms in  $S$  to  $(I, J)$ .

**Definition 2 (Semi-equilibrium models related to a splitting set)** *Let  $S$  be a splitting set of a program  $P$ . Then the semi-equilibrium models of  $P$  related to  $S$  are defined as*

$$SEQ^S(P) = mc\left(\bigcup_{(I, J) \in SEQ(b_S(P))} SEQ(P^S(I, J))\right). \quad (3)$$

**Example 4 (cont'd)** *For the splitting set  $S = \{a, b\}$  of  $P$  in Example 3,  $b_S(P) = \{b \leftarrow \text{not } a\}$  and  $SEQ(b_S(P)) = \{(b, b)\}$ . Hence,  $P^S(b, b) = \{c \leftarrow b, \text{not } c; b; \leftarrow \text{not } b; \leftarrow a\}$  and  $SEQ^S(P) = SEQ(P^S(b, b)) = \{(b, bc)\}$ .*

For any HT-model  $(X, Y)$  and splitting set  $S$  of a program  $P$ , we define the *restriction of  $(X, Y)$  to  $S$*  as  $(X, Y)|_S = (X \cap S, Y \cap S)$ . We have proved the following semantic characterization for semi-equilibrium models related to a splitting set:

**Theorem 1** *Let  $S$  be a splitting set of a program  $P$ . Then  $(X, Y) \in SEQ^S(P)$  iff  $(X, Y) \in SEQ(P)$  and  $(X, Y)|_S \in SEQ(b_S(P))$ .*

Now we generalize the use of splitting sets to compute the  $SEQ$ -models of a program via splitting sequences.

**Definition 3 (Semi-equilibrium models related to a splitting sequence)** *Given a splitting sequence  $S = (S_1, \dots, S_n)$  for a program  $P$ , we let  $S' = (S_2, \dots, S_n)$  and define the semi-equilibrium models of  $P$  related to the splitting sequence  $S = (S_1, \dots, S_n)$  as*

$$SEQ^S(P) = mc\left(\bigcup_{(I, J) \in SEQ(b_{S_1}(P))} SEQ^{S'}(P^{S_1}(I, J))\right). \quad (4)$$

The  $SEQ$ -models related to a splitting sequence can be characterized similarly as those related to a splitting set. To ease presentation, for a program  $P$  and splitting sequence  $S = (S_1, \dots, S_n)$ , we let  $P_0 = P$  and  $P_k = (P_{k-1})^{S_k}(I_k, J_k)$ , where  $(I_k, J_k) \in SEQ(b_{S_k}(P_{k-1}))$ ,  $k = 1, \dots, n$ . We now state the main result of this section.

**Theorem 2** *Let  $S = (S_1, \dots, S_n)$  be a splitting sequence of a program  $P$ . Then  $(X, Y) \in SEQ^S(P)$  iff  $(X, Y) \in SEQ(P)$  and  $(X, Y)|_{S_k} \in SEQ(b_{S_k}(P_{k-1}))$ , for  $k = 1, \dots, n$ .*

Note that a classically consistent program does not necessarily have *split  $SEQ$ -models*. In fact, if  $P = \{\leftarrow b; b \leftarrow \text{not } a\}$  and  $S = \{a\}$ , then  $SEQ(b_S(P)) = \{(\emptyset, \emptyset)\}$  and so  $SEQ^S(P) = \emptyset$ . However  $(a, a)$  and  $(\emptyset, a)$  are HT-models of  $P$ .

## 4 Canonical Semi-Equilibrium Models

The split *SEQ*-semantics depends on the choice of the particular splitting sequence, which is not much desirable. We thus consider a way to obtain a refined split *SEQ*-semantics independent of a particular splitting sequence, but imposes conditions on sequences that come naturally with the program and can be easily tested. Attractive are the *strongly connected components* (SCCs) of a given program, which are at the heart of bottom up evaluation algorithms in ASP systems. In absence of constraints, we get the desired independence of a particular splitting sequence, and so we can talk about the *SCC-models* of a program. Allowing for constraints will need a slight extension.

### 4.1 SCC-split sequences and models

Recall that the *dependency graph* of a program  $P$  is the directed graph  $DG(P) = \langle V_{DG}, E_{DG} \rangle$ , where  $V_{DG} = At(P)$  and  $E_{DG} = \{(a, b) \mid a \in H(r), b \in B(r) \cup (H(r) \setminus \{a\}), r \in P\}$ . The SCCs of  $P$ , denoted  $SCC(P)$ , are the SCCs of  $DG(P)$ , and the supergraph of  $P$  is the graph  $SG(P) = \langle V_{SG}, E_{SG} \rangle$ , where  $V_{SG} = SCC(P)$  and  $E_{SG} = \{(C, C') \mid C \neq C' \in SCC(P), \exists a \in C, \exists b \in C', (a, b) \in E_{DG}\}$ . Note that  $SG(P)$  is a directed acyclic graph (dag); recall that a *topological ordering* of a dag  $G = \langle V, E \rangle$  is an ordering  $v_1, v_2, \dots, v_n$  of its vertices, denoted  $\leq$ , such that for every  $(v_i, v_j) \in E$  we have  $i > j$ . Such an ordering always exists, and the set  $O(G)$  of all topological orderings of  $G$  is nonempty. We thus define

**Definition 4** Let  $P$  be a program and let  $\leq = (C_1, \dots, C_n)$  be a topological ordering of  $SG(P)$ . Then the splitting sequence induced by  $\leq$  is  $S_{\leq} = (S_1, \dots, S_n)$ , where  $S_1 = C_1$  and  $S_j = S_{j-1} \cup C_j$ , for  $j = 2, \dots, n$ .

We call any such  $S_{\leq}$  a *SCC-splitting sequence*; note that  $S_{\leq}$  is indeed a splitting sequence of  $P$ . We now have the following result.

**Theorem 3** Let  $P$  be a constraint-free program. For every  $\leq, \leq' \in O(SG(P))$ , we have  $SEQ^{S_{\leq}}(P) = SEQ^{S_{\leq'}}(P)$ .

This result allows to define the *SCC-models* of  $P$  as  $M^{SCC}(P) = SEQ^{S_{\leq}}(P)$  for an arbitrary topological ordering of  $SG(P)$ . We then obtain:

**Proposition 1** The *SCC-models semantics*, given by  $M^{SCC}(P)$  for constraint-free  $P$ , satisfies (D1)-(D3).

**Example 5** Consider  $P = \{a \leftarrow c, \text{not } a; a \leftarrow \text{not } b; c \leftarrow \text{not } d; b \leftarrow \text{not } e\}$ ; its SCCs are  $C_1 = \{a\}$ ,  $C_2 = \{b\}$ ,  $C_3 = \{c\}$ ,  $C_4 = \{d\}$  and  $C_5 = \{e\}$ . For  $\leq = (C_4, C_5, C_3, C_2, C_1)$ , we obtain that  $SEQ^{S_{\leq}}(P) = SEQ^{(S_2, S_3, S_4, S_5)}(P^{S_1}(\emptyset, \emptyset)) = SEQ^{(S_3, S_4, S_5)}(P_1^{S_2}(\emptyset, \emptyset)) = SEQ^{(S_4, S_5)}(P_2^{S_3}(c, c)) = SEQ^{(S_5)}(P_3^{S_4}(bc, bc)) = \{(bc, abc)\}$ ; hence  $M^{SCC}(P) = \{(bc, abc)\}$ . For  $\leq' = (C_5, C_2, C_4, C_3, C_1)$ , we obtain  $SEQ^{S_{\leq'}}(P) = \{(bc, abc)\}$ , in line with Theorem 3. Note that  $SEQ(P) = \{(bc, abc), (b, bd), (ac, ace)\}$ .

Finally, if we replace in Equation (4)  $SEQ$ ,  $SEQ^S$ , and  $SEQ^{S'}$  all by  $M^{SCC}$ , then the resulting equation holds; i.e., we can compute *SCC-models* modularly bottom up along an arbitrary splitting sequence (using always  $M^{SCC}$ ).

## 4.2 MJC-split sequences and models

Theorem 3 fails if we allow constraints in  $P$ . E.g.,  $P = \{b; \leftarrow b, \text{not } a\}$  has the SCCs  $\{a\}$  and  $\{b\}$ ; hence  $O(SG(P)) = \{(\{a\}, \{b\}), (\{b\}, \{a\})\}$ . But the respective  $SEQ$ -models are different:  $SEQ^{\{\{a\}, \{a,b\}\}}(P) = \emptyset$  and  $SEQ^{\{\{b\}, \{a,b\}\}}(P) = \{(b, ba)\}$ . We thus consider merging SCCs of  $P$  in such a way that independence of topological orderings is preserved and merging is done only if deemed necessary. This is embodied by the *maximal joinable components* of  $P$ , which lead to so called *MJC-split sequences* and models. Informally, relevant SCCs are merged if they intersect with a constraint.

We call  $(K_1, K_2)$  from  $SCC(P)^2$  a *related pair*, if either  $K_1 = K_2$  or some constraint  $r \in P$  fulfills  $At(r) \cap K_1 \neq \emptyset$  and  $At(r) \cap K_2 \neq \emptyset$ ; by  $C_{(K_1, K_2)}(P)$  we denote the set of all such constraints. A related pair  $(K_1, K_2)$  is a *joinable pair* iff  $K_1 = K_2$  or some  $(C_1, \dots, C_n)$  in  $O(SG(P))$  exists such that (i)  $K_1 = C_s$  and  $K_2 = C_{s+1}$  for some  $1 \leq s < n$ , (ii)  $(K_2, K_1) \notin E_{SG}$  and (iii) there exists  $r \in C_{(K_1, K_2)}(P)$  s.t.  $At(r) \subseteq C_1 \cup \dots \cup C_{s+1}$ . We denote by  $JP(P)$  the set of all *joinable pairs*. We extend joinability from pairs to any number of SCCs.

**Definition 5** Let  $P$  be a program. Then  $K_1, \dots, K_m \in SCC(P)$  are *joinable* iff  $m = 2$  and some  $K \in SCC(P)$  exists such that  $(K_1, K), (K, K_2) \in JP(P)$ , or otherwise  $K_i, K_j$  are joinable for each  $i, j = 1, \dots, m$ . We let  $JC(P) = \{\bigcup_{i=1}^m K_i \mid K_1, \dots, K_m \in SCC(P) \text{ are joinable}\}$  and call  $MJC(P) = \{J \in JC(P) \mid \forall J' \in JC(P) : J \not\subseteq J'\}$  the set of all *maximal joined components (MJC)s* of  $P$ .

**Example 6** For  $P = \{\leftarrow b, \text{not } a; \leftarrow b, \text{not } c; d \leftarrow \text{not } a; c \leftarrow \text{not } e; b \leftarrow c\}$ , we have  $SCC(P) = \{\{a\}, \{b\}, \{c\}, \{d\}, \{e\}\}$ . We note that  $(\{c\}, \{b\})$  is a *related*, but not a *joinable pair*, since  $(\{c\}, \{b\})$  satisfies (i) and (iii), but not (ii). Whereas  $(\{a\}, \{b\})$  is the only *nontrivial joinable pair*; hence  $MJC(P) = \{\{a, b\}, \{c\}, \{d\}, \{e\}\}$ .

We define the *MJC graph* of  $P$  as  $JG(P) = \langle V_{JG}, E_{JG} \rangle$ , where  $V_{JG} = MJC(P)$  and  $E_{JG} = \{(J, J') \mid J \neq J' \in MJC(P), \exists a \in J, \exists b \in J', (a, b) \in E_{DG}\}$ . Note that  $JG(P)$  is like  $SG(P)$  a dag, and hence admits a topological ordering. We thus define

**Definition 6** Let  $P$  be a program and  $\leq = (J_1, \dots, J_m)$  be a topological ordering of  $JG(P)$ . Then the *splitting sequence* induced by  $\leq$  is  $S_{\leq} = (S_1, \dots, S_m)$ , where  $S_1 = J_1$  and  $S_k = S_{k-1} \cup J_k$ , for  $k = 2, \dots, m$ .

The sequence  $S_{\leq}$  is again indeed a splitting sequence, which we call a *MJC-splitting sequence*. We obtain a result analogous to Theorem 3, but in presence of constraints.

**Theorem 4** For every program  $P$  and  $\leq, \leq' \in O(JG(P))$ , we have  $SEQ^{S_{\leq}}(P) = SEQ^{S_{\leq'}}(P)$ .

Similarly as *SCC*-models, we thus can define the *MJC-models* of  $P$  as  $M^{MJC}(P) = SEQ^{S_{\leq}}(P)$  for an arbitrary topological ordering  $\leq$  of  $JG(P)$ . The problem in Section 4.2 disappears when we use the MJC. The program  $P = \{\leftarrow b, \text{not } a; b\}$  there has the single MJC  $J = \{a, b\}$ , since the two SCCs  $\{a\}$  and  $\{b\}$  are related through the constraint  $\leftarrow b, \text{not } a$  and thus joinable. Therefore we get  $(b, ab)$  as the (single) *MJC-model* of  $P$ . As for the desiderata, we note:

**Proposition 2** The *MJC-models semantics*, given by  $M^{MJC}(P)$  for any program  $P$ , satisfies (D1)-(D2).

**Table 1.** Complexity of split  $SEQ$ -models (completeness results). The same results hold for canonical models ( $SCC$ -,  $MJC$ -split seq.  $S$ ); diverging results for  $SEQ$ -models are in brackets.

Problem / Program $P$ :	normal, strat. normal, headcycle-free	disj. stratified, disjunctive
(MCH) Model checking: $(X, Y) \in SEQ^S(P)$ ?	coNP	$\Pi_2^P$
(INF) Brave reasoning: $P \models_S^{b,v} a$ ?	$\Sigma_2^P$	$\Sigma_3^P$
Cautious reasoning: $P \models_S^{c,v} a$ ?	$\Pi_2^P$	$\Pi_3^P$
(CON) Existence: $SEQ^S(P) \neq \emptyset$ ?	$\Sigma_2^P$ [NP]	$\Sigma_3^P$ [NP]

Classical coherence (D3), however, is not ensured by  $MJC$ -models, due to lean component merging that fully preserves dependencies.

**Example 7 (cont'd)** Reconsider  $P$  in Ex. 6. Then for the ordering  $\leq = (\{a\}, \{d\}, \{e\}, \{c\}, \{b\})$  we obtain  $SEQ^{S \leq}(P) = \emptyset$ , while for  $\leq' = (\{e\}, \{c\}, \{b\}, \{a\}, \{d\})$  we obtain  $SEQ^{S \leq'}(P) = \{(bc, abc)\}$ . On the other hand,  $JG(P)$  has the single topological ordering  $\leq = (\{e\}, \{c\}, \{a, b\}, \{d\})$ , and  $SEQ^{S \leq}(P) = \{(bc, abc)\}$ ; hence  $M^{MJC}(P) = \{(bc, abc)\}$ . Note that  $SEQ(P) = \{(bc, abc), (d, de)\}$ .

## 5 Complexity and Computation

In this section, we consider the computational complexity of the following major reasoning tasks for programs under split  $SEQ$ -semantics.

(MCH) Given a program  $P$ , a splitting sequence  $S$  and an HT-interpretation  $(X, Y)$ , decide whether  $(X, Y)$  is a split semi-equilibrium model of  $P$ .

(INF) Given a program  $P$ , a splitting sequence  $S$ , an atom  $a$  and  $v \in \{\mathbf{t}, \mathbf{f}, \mathbf{bt}\}$ , decide if  $a$  is a brave [resp. cautious]  $SEQ^S$ -consequence of  $P$  with value  $v$ , denoted  $P \models_S^{b,v} a$  [resp.  $P \models_S^{c,v} a$ ], i.e.,  $a$  has in some (all)  $(X, Y) \in SEQ^S(P)$  value  $v$ .

(CON) Given a program  $P$  and a splitting sequence  $S$ , decide whether  $SEQ^S(P) \neq \emptyset$ .

We consider also  $SCC$ - and  $MJC$ -splitting sequences and several classes of programs, viz. normal, disjunctive, stratified, and headcycle-free programs. Recall that a program  $P$  is *stratified*, if for each  $r \in P$  and  $C \in SCC(P)$  either  $H(r) \cap C = \emptyset$  or  $B^-(r) \cap C = \emptyset$ ;  $P$  is *headcycle-free* (*hcf*), if  $|H(r) \cap C| \leq 1$  for each  $r \in P$  and  $C \in SCC(P')$ , where  $P' = \{a \leftarrow B^+(r) \mid r \in P, a \in H(r)\}$ . Positive programs are here of less interest, as  $SEQ^S(P) = \{(M, M) \mid M \in MM(P)\}$  for each splitting sequence  $S$ . Our complexity results are summarized in Table 1.

**Constructing and recognizing canonical splitting sequences.** It is well-known that  $SCC(P)$  and  $SG(P)$  are efficiently computable from  $P$  (using Tarjan's [16] algorithm even in linear time); hence, it is not hard to see that one can recognize a  $SCC$ -splitting sequence  $S$  in polynomial time, and that every such  $S$  can be (nondeterministically) generated in polynomial time (in fact, in linear time). We obtain similar tractability results for  $MJC(P)$  and  $MJC$ -splitting sequences.

**Theorem 5** Given a program  $P$ ,  $MJC(P)$  and  $JG(P)$  are computable in polynomial time (in time  $O(cs \cdot \|P\|)$ , where  $cs = |\{r \in P \mid H(r) = \emptyset\}|$  and  $\|P\|$  is the size of  $P$ ).

## 6 Application: Inconsistency-Tolerant Query Answering

The standard answer set semantics may be regarded as appropriate when a knowledge base, i.e., logic program, is properly specified adopting the CWA principle to deal with incomplete information. Query answering over a knowledge base then resorts usually to brave or cautious inference from the answer sets of a knowledge base; let us focus on the latter here. However, if (unexpected) incoherence arises, then we lose all information and query answers are trivial. This, however, may not be satisfactory, especially if it is not possible to modify the knowledge base, which may be due to various reasons. Paracoherent semantics can be exploited to overcome this problem and to render query answering operational, without trivialization. In particular, *SEQ*-semantics is attractive as it builds on simple grounds and (1) brings in “unsupported” assumptions, (2) stays in model building close to answer sets, but distinguishes atoms that require such assumptions from atoms derivable without them, (3) keeps the CWA/LP spirit of minimal assumptions, and (4) easily lifts to extensions (nested programs, arbitrary formulas, aggregates, etc).

For instance, consider a variant of the Russell paraphrase from the Introduction [15]:

$$P = \{shaves(joe, X) \leftarrow not\ shaves(X, X); man(paul)\}.$$

While this program has no answer set, *SEQ*-semantics gives us the model

$$(\{shaves(joe, paul), man(paul)\}, \{shaves(joe, paul), man(paul), shaves(joe, joe)\});$$

here the incoherent rule  $shaves(joe, joe) \leftarrow not\ shaves(joe, joe)$  obtained by grounding is isolated from rest of the program, avoiding the absence of solutions (a similar intuition is underlying the definition of CWA inhibition rule in [12], used for contradiction removal in a logic program), and allows us to derive, for instance, that  $shaves(joe, paul)$  and  $man(paul)$  are true; furthermore, we can infer that  $shaves(joe, joe)$  can not be false. Such a capability seems very attractive in query answering.

Now reconsider the program in Ex. 1, and let us ask for query  $go(John)$ . Again answer set semantics yields only a trivial answer to the query. However the local incoherence is due to the second and the third rule, and the CWA implies that  $go(Mark)$  is false; hence there is no reason to avoid the answer. Moreover split-*SEQ* semantics yields the unique model  $(\{go(John)\}, \{go(John), go(Bill)\})$  and removes the *SEQ*-model ambiguity, as it makes stronger gap minimization through the bottom-up evaluation. In this way, the relaxation of CWA is minimized.

## 7 Conclusion

We have studied a refinement of *SEQ*-semantics that respects modular structure, and we gave a semantics via splitting sets that is amenable to bottom up evaluation of programs.

The generic framework of Equilibrium Logic makes it easy to define *SEQ*-semantics via gap minimization for many extensions of the programs considered here, such as nested programs, programs with aggregates and external atoms, hybrid knowledge bases

etc; programs with classical negation require to use more truth values [8]. It remains to consider modularity in these extensions and to define suitable refinements of *SEQ*-models. Particularly interesting are modular logic programs where explicit (by module encapsulation) and implicit modularity (by splitting sets) occur at the same time.

Besides language extensions, another issue is generalizing the model selection. To this end, preference of gap minimization at higher over lower levels must be supported; however, this intuitively requires more guessing and hinders bottom up evaluation. Finally, efficient algorithms and an implementation are to be done, as well integration into an answer set building framework.

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